## Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

EFS ID:

14931

Application ID:

10063549

Title of Invention:

SECRETED AND TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

First Named Inventor:

Dan Eaton

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

null

Effective Receipt Date:

2002-05-02

Submission Type:

**Utility Patent Filing** 

Filing Type:

Sing Sing

254

Full House

new-utility

Confirmation Number:

0

Attorney Docket Number:

10466/327

cn=Paul Rauch, ou=Registered Attorneys, ou=Patent and

Digital Certificate Holder:

Trademark Office, ou=Department of Commerce, o=U.S.

Government, c=US

**Certificate Message Digest:** 

HSXTUIDIUypUNV0ple9zuQ==

Total Fees Authorized:

\$740.0

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CC - Credit Card

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**Expiration Date:** 

04302004

Card Holder Name:

M HOFFMAN 10466/296

RAM User ID:

**EFSPROD** 

**RAM Accounting Date:** 

2002-05-02

**RAM Sequence Number:** 

445915

**RAM Payment Status:** 

**RAM success** 

Postal Code:

60611

## TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket Number:

10466/327

Submission Type: Utility Patent

**Filing** 

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

First Named Inventor: Dan L. Eaton

SUBMITTED BY

Name:

Bank Bank Dr. Paul E. Rauch

Registration Number:

38591

Electronic Signature Mark: Paul E.

Rauch

Date Signed: 20020502

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

sequence-listing

Sequence\_Listing\_as\_filed.txt

declaration

Declaration01.tif

declaration Declaration02.tif declaration Declaration03.tif declaration Declaration04.tif declaration Declaration05.tif declaration Declaration06.tif declaration Declaration07.tif declaration Declaration08.tif declaration Declaration09.tif declaration Declaration 10.tif declaration Declaration 11.tif declaration Declaration 12.tif bibd-transmittal 10466327apds.xml gank glick girk eilug. U U H H Hem. end Kraff theed theelt creedl fee-transmittal 10466327fee.xml specification 10466327.xml Attached Image File(s): Declaration01.tif Declaration02.tif Declaration03.tif Declaration04.tif Declaration05.tif Declaration06.tif Declaration07.tif Declaration08.tif Declaration09.tif Declaration 10.tif Declaration 11.tif

Declaration 12.tif

#### Comments:

I hereby state that the Sequence Listing contained in the specification and drawings submitted herewith and the Sequence Listing attached hereto in .txt format is identical. I further state that this submission includes no new matter. The prior application is assigned of record to Genentech, Inc. REEL/FRAME 012377/0266; Recorded:12/06/2001

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inv names are listed below) of the subject matter which is claimed and for which a patent is sought on the inventic

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE S

the specification of which (check one)  $\underline{X}$  is attached hereto or was filed on as Application Serial No. and was ar applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application (s inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certifing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			Prio Yes
Number	Country	Day/Month/Year Filed	

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications (s

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No. Filing Date

Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed belo as the subject matter of each of the claims of this application is not disclosed in the prior United States application provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material i defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applic national or PCT international filing date of this application:

<u>Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications</u>

Application Ser. No. Filing Date Status: Patented, Pending, Abandon

Application Ser. No. Filing Date Status: Patented, Pending, Abandon

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Traconnected therewith.

09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:

Attn: Paul E. Rauch

Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 N. Cityfront Plaza Drive Chicago, IL 60611-5599 Telephone: 312-321-4780 Facsimile: 312-321-4299

Email: prauch@brinkshofer.com

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements we knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the U and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent at to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. a or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent herein will be so notified by the undersigned.

Full name of sole or first inventor	
Dan L. Eaton Du Eath	10/2/01
Inventor's signature	Date
Residence 45 Inverses Dr. 75 Knight Drive, San Rafael, CA 94901	
Citizenship US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any	
Ellen Filvaroff	
Inventor's signature Away	10/1/b/
Residence 538 18th Avenue, San Francisco, CA 94 21	• ,
Citizenship US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
Residence	
541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	

Full name of fourth joint inventor, if any	
Audrey Goddard	
Inventor's signature  O Nodocel	Date / 0 c7 . \$201
Residence 110 Congo Street, San Francisco, CA 94131	, 82, 30,
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of lifth joint inventor, if any	
Paul J. Godowski	
Aesigence August Acceptage	
25 drange Courl/Hillsborough, CA 94010  Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date   0   と / c
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date /////
Residebce 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any	
Colin K. Watanabe	
Inventor's signature	Date
Colin K Uhtrule	10-2.2001
Residence 128 Corliss Drive, Moraga, CA 94556	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	*
Citizenship US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Julia Jan Francisco, OA 34000	

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint invenames are listed below) of the subject matter which is claimed and for which a patent is sought on the inventio

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SI

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Prior Foreign Application(s):			<u>Priori</u> <u>Yes</u>
Number	Country	Day/Month/Year Filed	

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No. Filing Date

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<u>Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications</u>

Application Ser. No.

Filing Date

Status: Patented, Pending, Abandon

Application Ser. No. Filing Date Status: Patented, Pending, Abandon

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trac connected therewith.

09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

#### Send correspondence to:

Attn: Paul E. Rauch

Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 N. Cityfront Plaza Drive Chicago, IL 60611-5599 Telephone: 312-321-4780 Facsimile: 312-321-4299

Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements wer knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the Un and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agit to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attornage and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent in herein will be so notified by the undersigned.

Full name of sole or first inventor	
Dan L. Eaton	
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, CA 94901	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any	
Ellen Filvaroff	
Inventor's signature	Date
Residence 538 18 <sup>th</sup> Avenue, San Francisco, CA 94121	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date (**)
Residence 541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of fourth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Paul J. Godowski	
Inventor's signature	Date
Residence 25 Orange Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	,
Full name of sixth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any	
Colin K. Watanabe	
Inventor's signature	Date
Residence 128 Corliss Drive, Moraga, CA 94556	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineth joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship	
US	

### APPENDIX A

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications

1.	60/063435	Filed 10/29/97
2.	60/064215	Filed 10/29/97
3.	60/082797	Filed 4/22/98
4.	60/083495	Filed 4/29/98
5.	60/085579	Filed 5/15/98
6.	60/087759	Filed 6/2/98
7.	60/088021	Filed 6/4/98
8.	60/088029	Filed 6/4/98
9.	60/088030	Filed 6/4/98
10.	60/088734	Filed 6/10/98
11.	60/088740	Filed 6/10/98
12.	60/088811	Filed 6/10/98
13.	60/088824	Filed 6/10/98
14.	60/088825	Filed 6/10/98
15.	60/088863	Filed 6/11/98
16.	60/089105	Filed 6/12/98
17.	60/089514	Filed 6/16/98
18.	60/089653	Filed 6/17/98
19.	60/089952	Filed 6/19/98
20.	60/090246	Filed 6/22/98
21.	60/090444	Filed 6/24/98
22.	60/090688	Filed 6/25/98
23.	60/090696	Filed 6/25/98
24.	60/090862	Filed 6/26/98
25.	60/091628	Filed 7/2/98
26.	60/096012	Filed 8/10/98
27.	60/096757	Filed 8/17/98
28.	60/096949	Filed 8/18/98
29.	60/096959	Filed 8/18/98
30.	60/097954	Filed 8/26/98
31.	60/097971	Filed 8/26/98
32.	60/097979	Filed 8/26/98
33.	60/098749	Filed 9/1/98
34.	60/099741	Filed 9/10/98
35.	60/099763	Filed 9/10/98
36.	60/099792	Filed 9/10/98
37.	60/099812	Filed 9/10/98
38.	60/099815	Filed 9/10/98
39.	60/100627	Filed 9/16/98
40.	60/100662	Filed 9/16/98
41.	60/100683	Filed 9/17/98
42.	60/100684	Filed 9/17/98
43.	60/100930	Filed 9/17/98
44.	60/101279	Filed 9/22/98
	00.1012.7	

46.	60/101738	Filed 9/24/98
47.	60/101743	Filed 9/24/98
48.	60/101916	Filed 9/24/98
49.	60/102570	Filed 9/30/98
50.	60/103449	Filed 10/6/98
51.	60/103678	Filed 10/8/98
52.	60/103679	Filed 10/8/98
53.	60/103711	Filed 10/8/98
54.	60/105000	Filed 10/20/98
55.	60/105002	Filed 10/20/98
56.	60/105881	Filed 10/27/98
57.	60/106030	Filed 10/28/98
58.	60/106464	Filed 10/30/98
59.	60/106856	Filed 11/3/98
60.	60/108807	Filed 11/17/98
61.	60/112419	Filed 12/15/98
62.	60/112422	Filed 12/15/98
63.	60/112853	Filed 12/16/98
64.	60/113011	Filed 12/16/98
65.	60/112854	Filed 12/16/98
66.	60/113300	Filed 12/22/98
67.	60/113408	Filed 12/22/98
68.	60/113430	Filed 12/23/98
69.	60/113621	Filed 12/23/98
70.	60/114223	Filed 12/30/98
71.	60/115614	Filed 1/12/99
72.	60/116527	Filed 1/20/99
73.	60/116843	Filed 1/22/99
74.	60/119285	Filed 2/9/99
75.	60/119287	Filed 2/9/99
76.	60/119525	Filed 2/10/99
77.	60/119549	Filed 2/10/99
78.	60/120014	Filed 2/11/99
79.	60/129122	Filed 4/13/99
80.	60/129674	Filed 4/16/99
81.	60/131291	Filed 4/27/99
82.	60/138387	Filed 6/9/99
83.	60/144791	Filed 7/20/99
84.	60/169495	Filed 12/7/99
85.	60/175481	Filed 1/11/00
86.	60/191007	Filed 3/21/00
87.	60/199397	Filed 4/25/00

### APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed be

### **US APPLICATIONS**

1. 09/380139 Filed 8/25/98 2. 09/311832 Filed 5/14/99	
2. 0,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
3. 09/380137 Filed 8/25/99 ABD	,
4. 09/380138 Filed 8/25/99 ABD	
5. 09/380142 Filed 8/25/99 ABD	•
6. 09/397342 Filed 9/15/99	
7. 09/403297 Filed 10/18/99	ABD
8. 09/423844 Filed 11/12/99	ABD
9. 09/644848 Filed 8/22/00	
10. 09/665350 Filed 9/18/00	
11. 09/664610 Filed 9/18/00 ABD	)
12. 09/709238 Filed 11/8/00	
13. 09/747259 Filed 12/20/00	
14. 09/816744 Filed 3/22/01	
15. 09/854208 Filed 5/10/01	
16. 09/854280 Filed 5/10/01	
17. 09/870574 Filed 5/30/01	
18. 09/874503 Filed 6/5/01	
19. 09/869599 Filed 6/29/01	

Filed 7/18/01

### PCT APPLICATIONS

09/908,827

20.

1.	PCT/US98/19330	Filed 9/16/98
2.	PCT/US99/05028	Filed 3/8/99
3.	PCT/US99/10733	Filed 5/14/99
4.	PCT/US99/12252	Filed 6/2/99
5.	PCT/US99/20111	Filed 9/1/99
6.	PCT/US99/21090	Filed 9/15/99
7.	PCT/US99/21194	Filed 9/15/99
8.	PCT/US99/30720	Filed 12/22/99
9.	PCT/US00/04341	Filed 2/18/00
10.	PCT/US00/04342	Filed 2/18/00
11.	PCT/US00/04414	Filed 2/22/00
12.	PCT/US00/05601	Filed 3/1/00
13.	PCT/US00/08439	Filed 3/30/00
14.	PCT/US00/14042	Filed 5/22/00
15.	PCT/US00/15264	Filed 6/2/00
16.	PCT/US00/23522	Filed 8/23/00
17.	PCT/US00/23328	Filed 8/24/00
18.	PCT/US00/30873	Filed 11/10/00
19.	PCT/US00/32378	Filed 12/1/00
20	PCT/HS00/34956	Filed 12/20/00

22.	PCT/US01/06666	Filed 3/1/01
23.	PCT/US01/17443	Filed 5/30/01
24.	PCT/US01/17800	Filed 6/1/01
25.	PCT/US01/19692	Filed 6/20/01
26.	PCT/US01/21066	Filed 6/29/01
27.	PCT/US01/21735	Filed 7/9/01

## FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

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Than Sund

**TOTAL FEES AUTHORIZED: \$ 740** 

### BANK (CREDIT) CARD INFORMATION:

Credit Card Number:

1008

**Expiration Date:** 

20040430

Authorized Name:

M HOFFMAN 10466/296

Billing Address:

60611

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

#### **EXTRA CLAIM FEES**

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 13	103	\$ 18	0	\$ 0
Independent Claims: 2	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0